



POTSDAM INSTITUTE FOR  
CLIMATE IMPACT RESEARCH

# Urban form and urban heat island effect

Yunfei Li

*yunfei.li@pik-potsdam.de*

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# Outline

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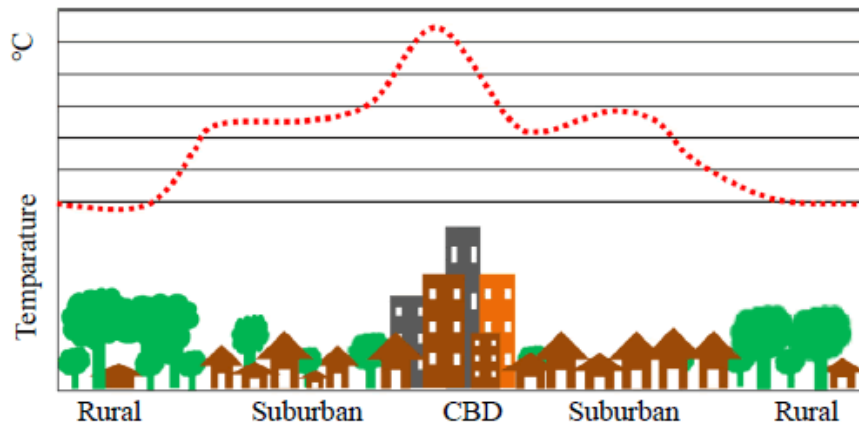
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# Background



# Urban heat island (UHI) effect

## Causes



sketch of an urban heat island profile\*

more heat storage:

evaporation,  
albedo ↓

Thermal  
conductivity,  
capacity ↑

more trapping:

wind  
ventilation ↓

in-canyon  
reflection ↑

anthropogenic  
heat release:

traffic ↑

cooling,  
heating ↑



$$T_{urban} > T_{rural}$$

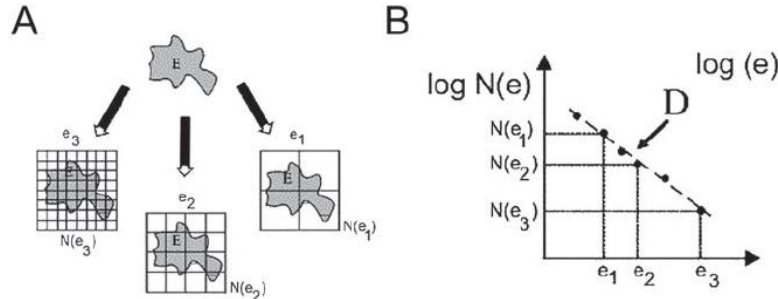
## Costs

- impacts human health, air quality, aquatic systems, and energy consumption.
- amplifies heat stress costs by interacting with heat waves and climate change.
- Urbanization results in UHI effect and is expected to continue.

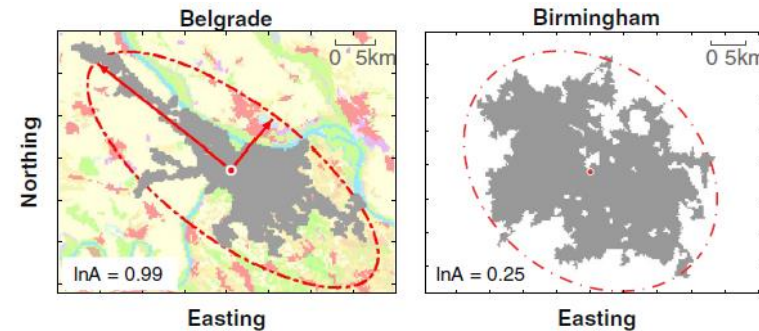
# Preceding work on surface UHI\*

## Factors influence land surface UHI

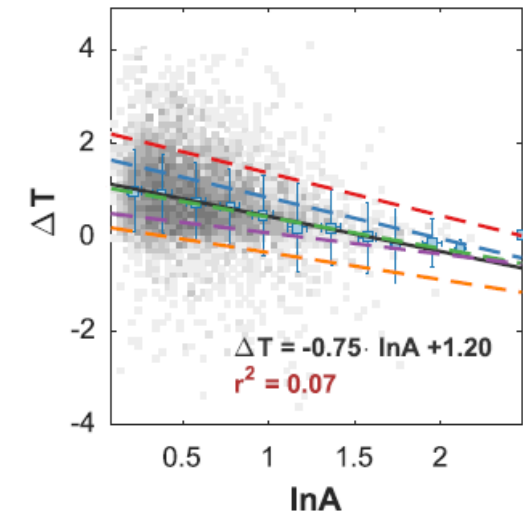
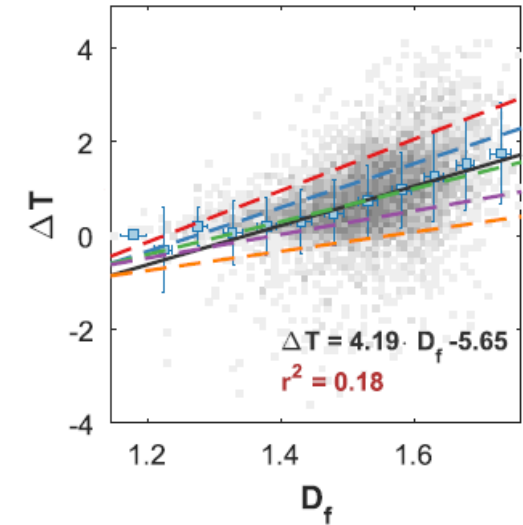
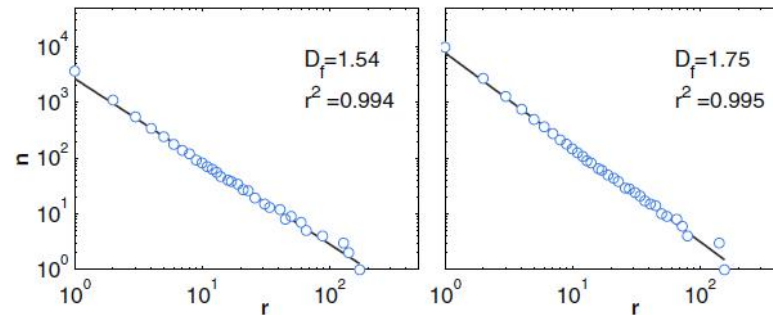
- Fractal dimension
- anisometry



Anisometry  
 $A$



fractal  
Dimension  
 $D_f$



- Zhou, B., Rybski, D. & Kropp, J.P. *Scientific Reports* (2017).
- Boxcounting ref: Azua-Bustos, A., C. Vega, T. Peres-Acle, and R. Vicuña. *LPICo* (2010).



# Questions

- **Questions:**

- ? How does urban morphology influence the canopy layer UHI effect
- ? Can this influence be quantitated for a better understanding

- **Current knowledges:**

- Denser cities tend to have stronger UHI intensity.
- Factors influencing UHI effect interact nonlinearly with each other.
- Many factors at micro/block scale influence small scale thermal environment.

# Methodology

# Conceptual framework

- **Necessaries**

- ☐ high spatial resolution and coverage temperature data
- ☐ Urban structures vaying in morphology
- ☐ Factor seperating analysis

- **Tools:**

- **Numerical climate model**

- Run Controlled urban climate simulations

- **Gravitational urban growth model**

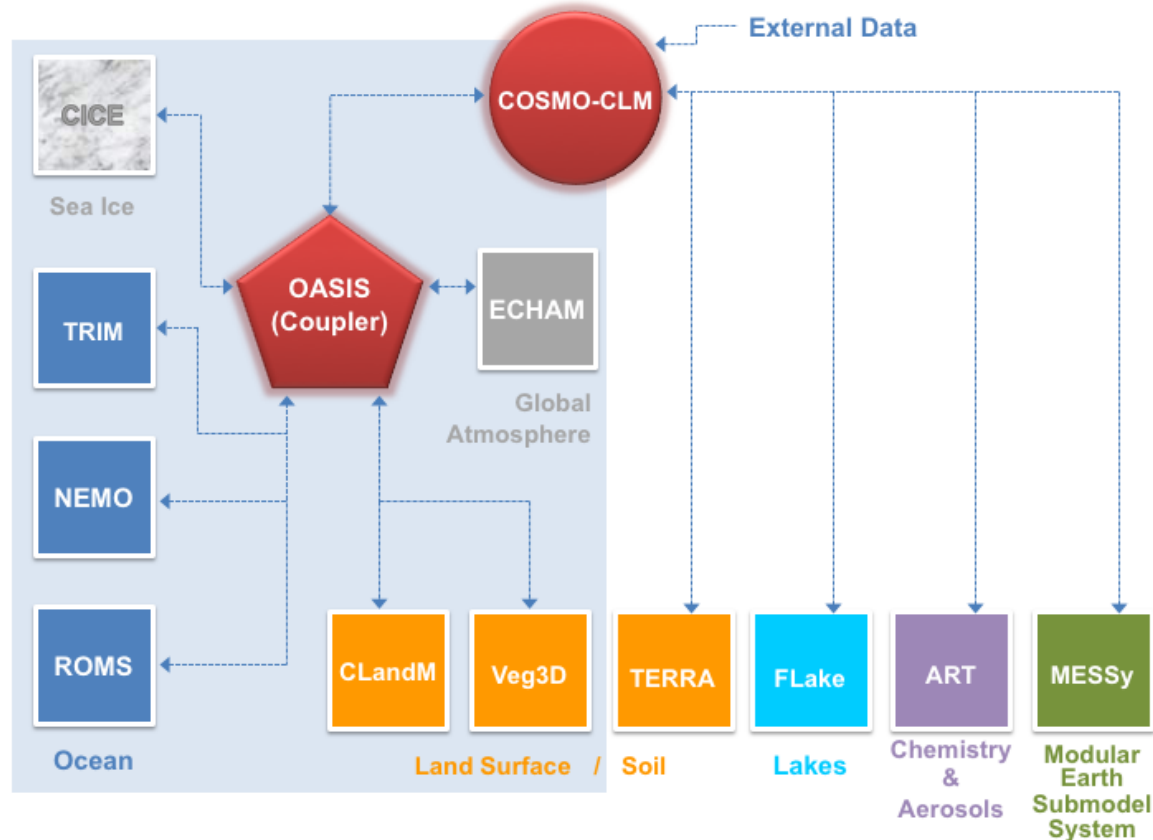
- Create different urban structures



# Climate model

- COSMO model in CLimate Mode (COSMO-CLM)**

- nonhydrostatic regional climate model based on the Local Model (LM) and COSMO (COnsortium for Small-scale MOdelling) model.



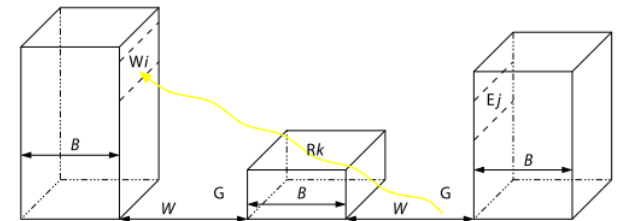
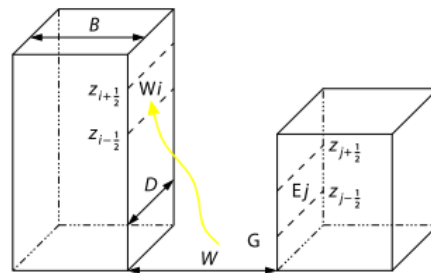
Overview COSMO-CLM\*

# Urban canopy model

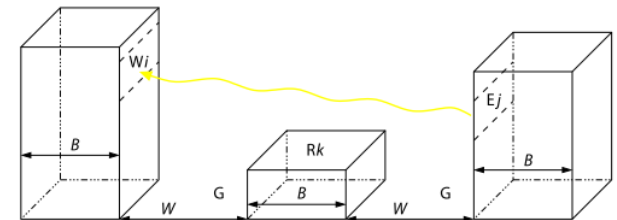
- double-canyon effect parametrization (DCEP)\* based on Building Effect Parameterization (BEP)

UCP (urban canopy parameters) input:

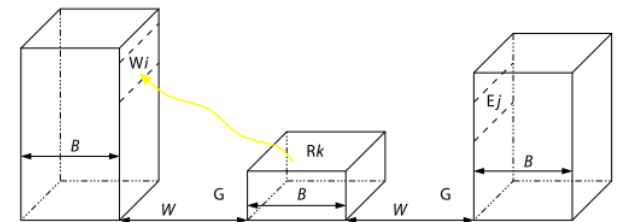
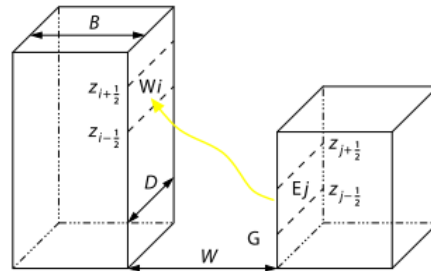
- Urban fraction
- Urban canyon direction
- Building height distribution
- Building width
- Street width



a) Radiation received from the ground surface G of a neighbouring canyon



b) Radiation received from the jth east wall element  $E_j$  of a neighbouring canyon



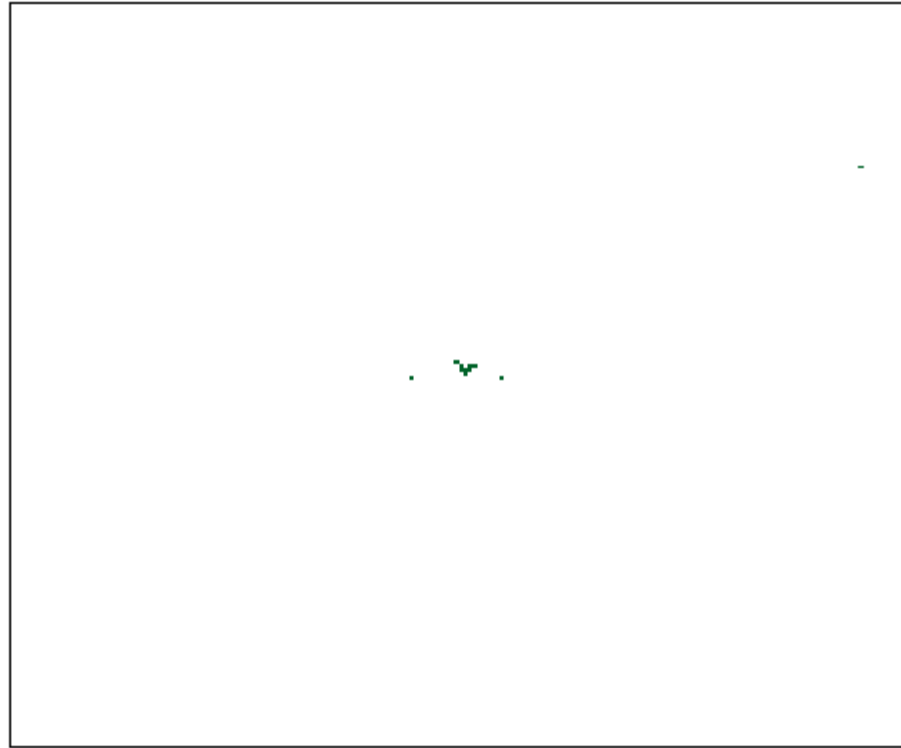
c) Radiation received from the kth roof surface

# Gravitational model\*

- **Conception: growth is more likely to take place close to urban space.**
- **In a  $N \times N$  square lattice, set central cell value  $w = 1$ , 0 for all other cells:**
  - For any cell  $i$ , influenced by another cell  $j$ , in a gravitation like form:  $\frac{Gw_j}{d_{ij}^\gamma}$ ;
  - Overall influences from the system on cell  $i$ :  $G \sum_{j \neq i} w_j * d_{ij}^{-\gamma}$ ;
  - Normalize by  $\sum_{j \neq i} d_{ij}^{-\gamma}$ , the growth probability of cell  $i$ :  $q_i = G \frac{\sum_{k \neq j} w_k * d_{j,k}^{-\gamma}}{\sum_{k \neq j} d_{j,k}^{-\gamma}}$ ;
  - Roll a number  $z_i \in [0,1]$  for each cell  $i$ , compare with  $q_i$ :
    - $z_i \geq q_i$ : do nothing
    - $z_i < q_i$ :  $w_i = w_i + 1$
  - Reclaculate  $q_i$  and do another iteration.
- **control the growth pattern using different  $\gamma$ .**

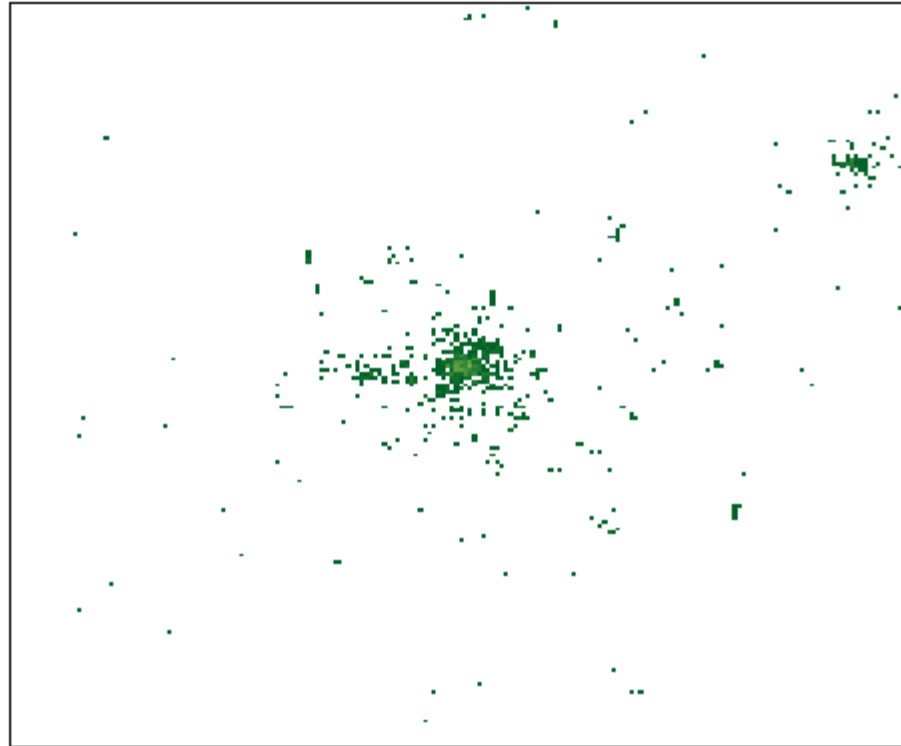


# Gravitational model output



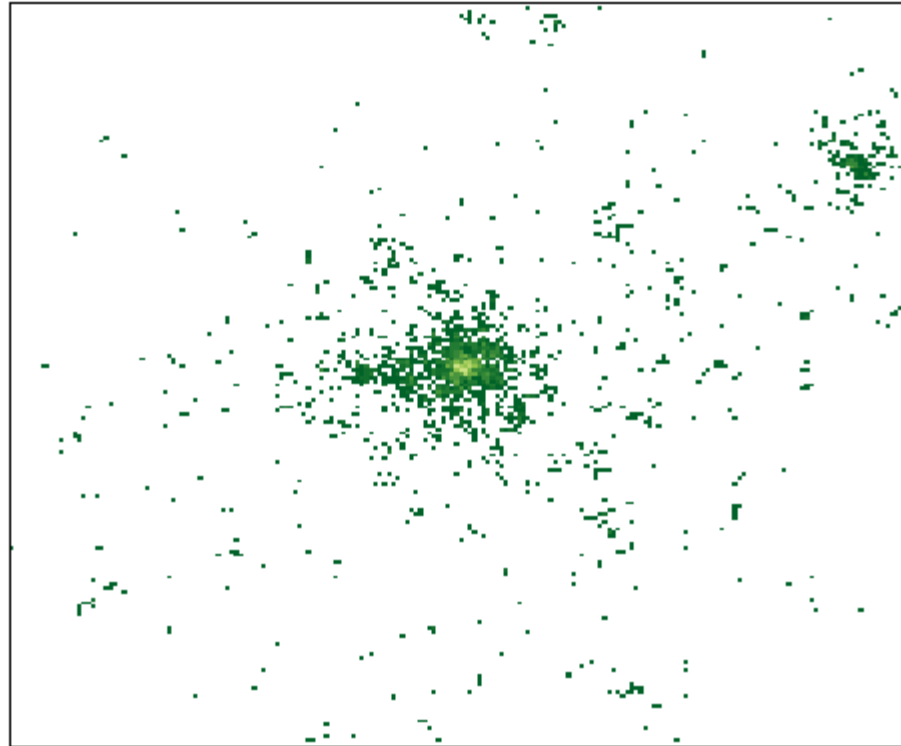
$\gamma = 2.5$ , Step = 1

# Gravitational model output



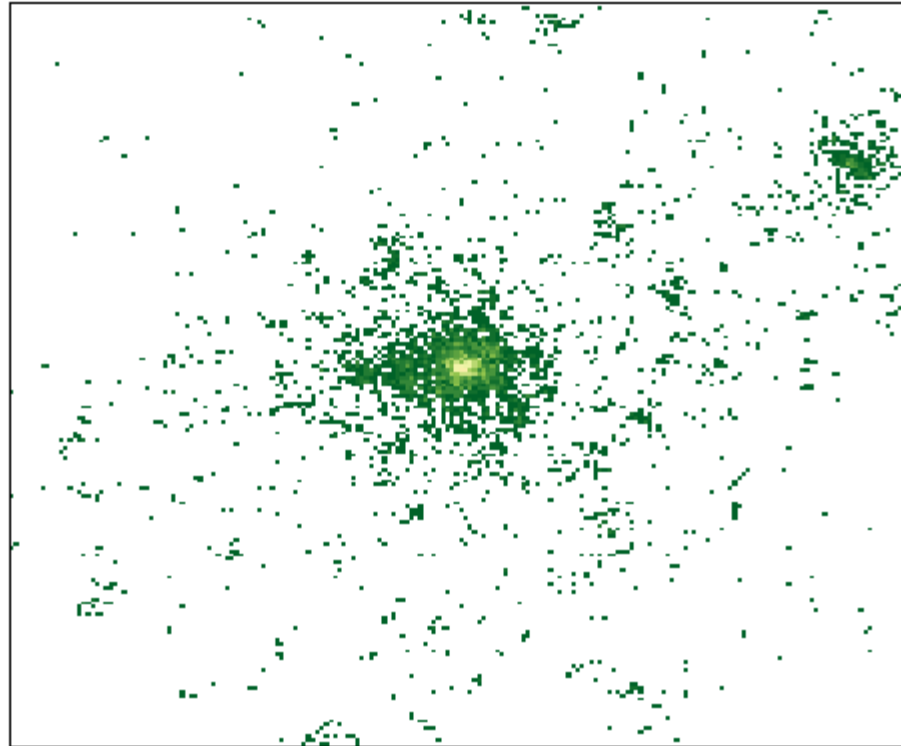
$\gamma = 2.5$ , Step = 5

# Gravitational model output



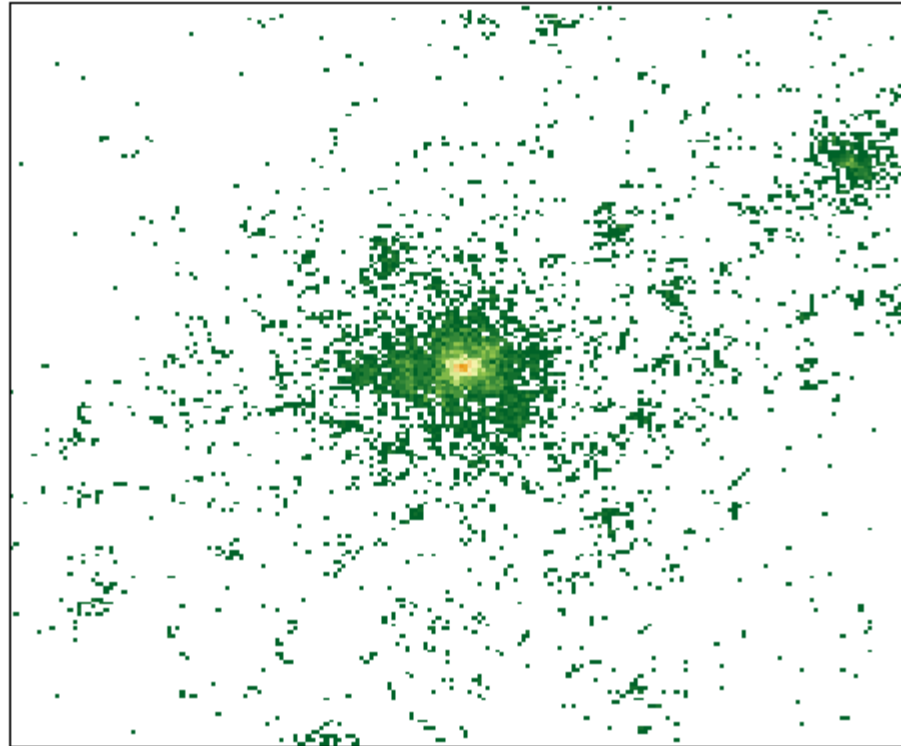
$\gamma = 2.5$ , Step = 10

# Gravitational model output



$\gamma = 2.5$ , Step = 15

# Gravitational model output



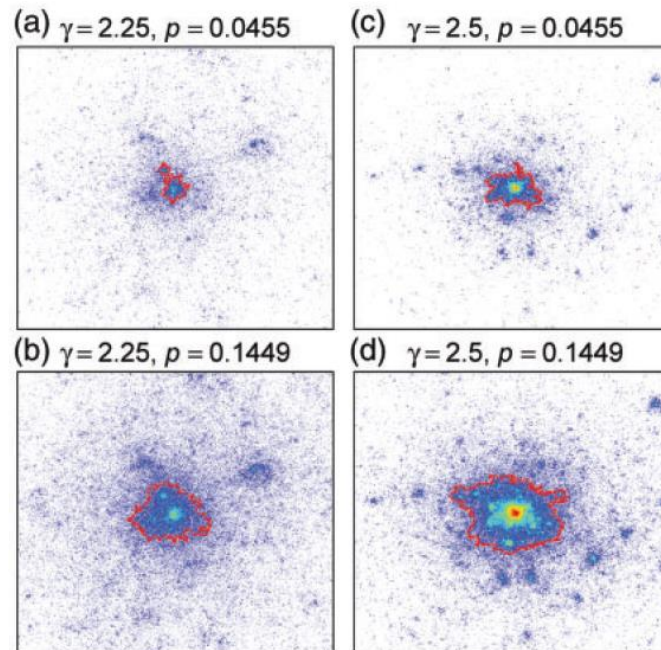
$\gamma = 2.5$ , Step = 20



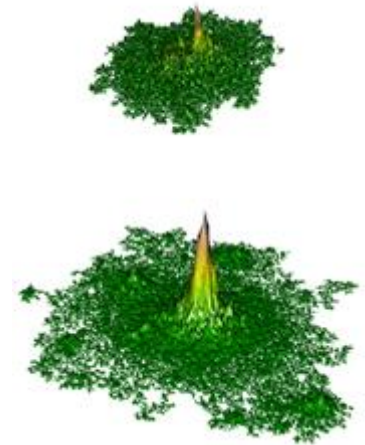
# Artificial 3D urban structures

- **Gravitational model urban growth model**
  - reproducing various attributes of real world cities:
    - radial gradients population of density and impervious surface fraction
    - various fractal dimension
    - power-law between the population and city size
    - urbanisation probability profile along the distance to urban sites

$$q_i = G \frac{\sum_{j \neq i} w_j * d_{i,j}^{-\gamma}}{\sum_{j \neq i} d_{i,j}^{-\gamma}}$$

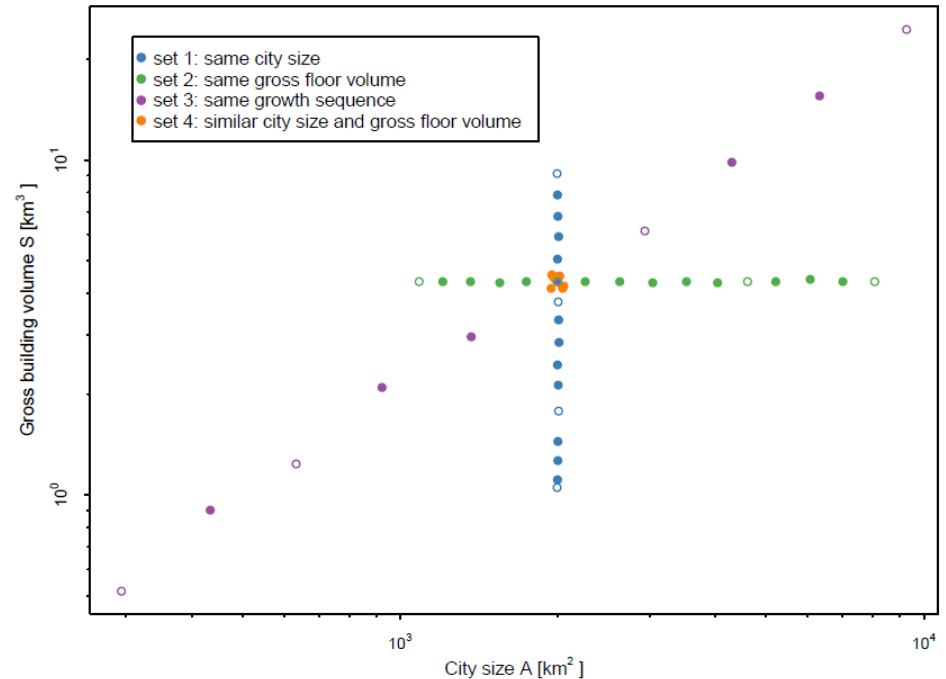
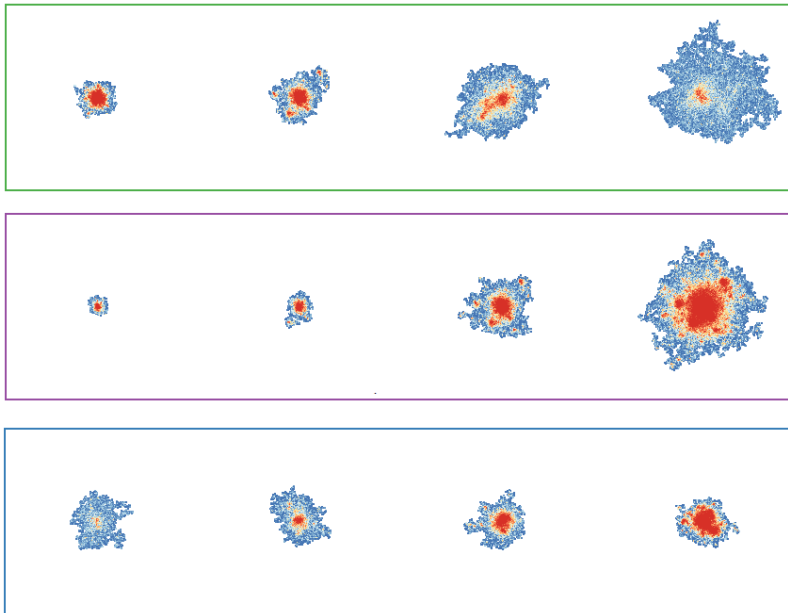


CCA →



# 3D urban structures

- **4 series from gravitational model\*:**
  - Same gross building volume, different sizes
  - Same size, different gross building volume
  - same growth series
  - Same size and gross building volume, different shapes



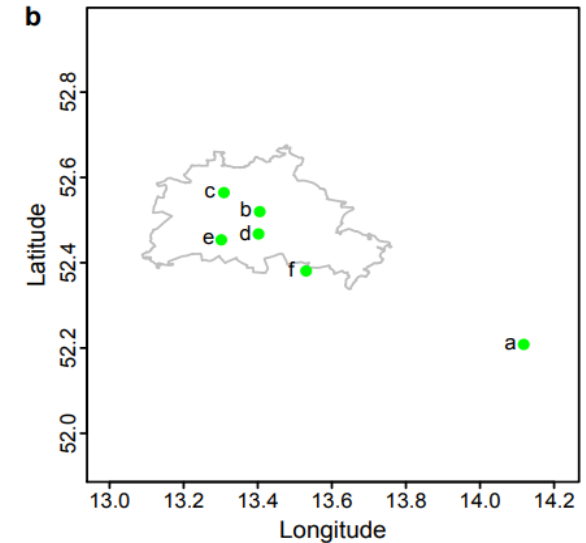
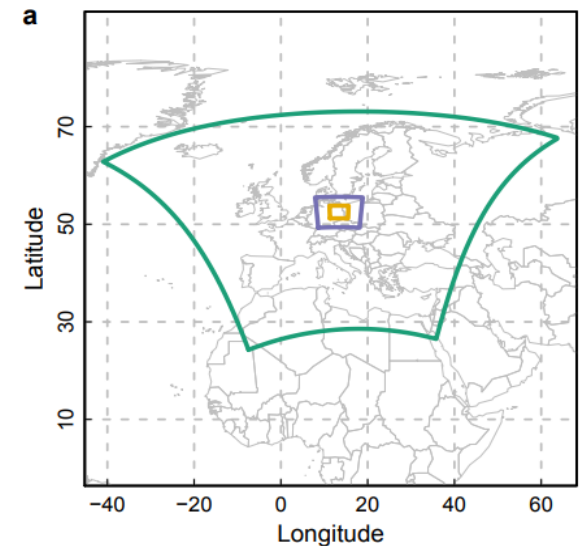
# Numerical model setup

## • Urban climate simulation

- COSMO-CLM downscaling :  
ERA-Interim -> 16km-> 2.8km
- COSMO-CLM/DCEP:  
2.8km -> 1km (300km centered at Berlin area)
- Period: Heat wave event, 1st -7th, August 2003
- Output: hourly 2m Temperature

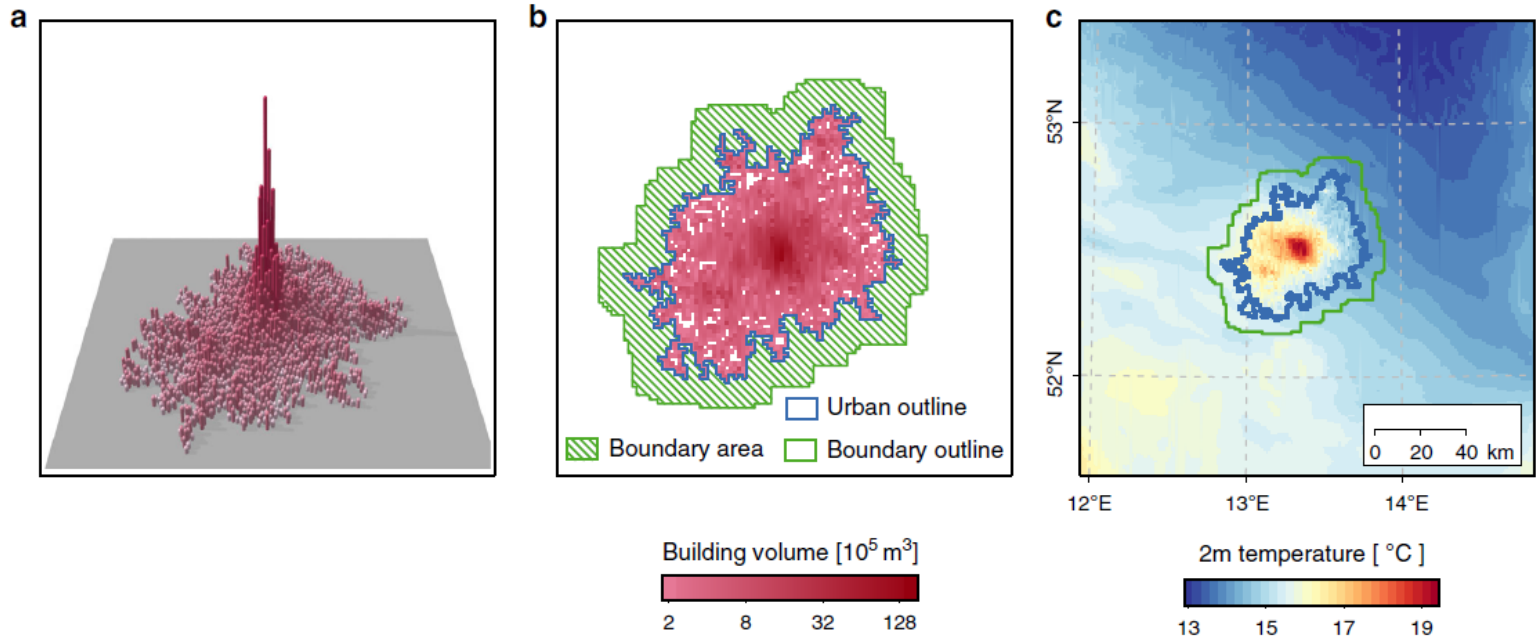
## • Validation of reference run

	Lindenberg	Alex	Dahlem	Schön	Tegel	Tempel
ME	-0.252	-0.305	1.179	0.684	-0.264	0.693
MAE	0.785	0.703	1.604	0.871	0.823	1.146
RMSE	0.998	0.879	2.088	1.147	1.055	1.427



# UHI calculation

- Boundary creation:
  - $A_{bd} \approx A_{urb}$
- UHI intensity calc:
  - $\Delta T \approx \bar{T}_{urb} - \bar{T}_{bd}$



# Results



# $\Delta T \sim$ urban size and building density

- Nonlinear fitting of the average daily max UHI intensity :

$$\Delta T = a \log S + b \log A + c \quad (1)$$

$$r^2 = 0.95, (S \text{ gross building volume, } A \text{ urban area})$$

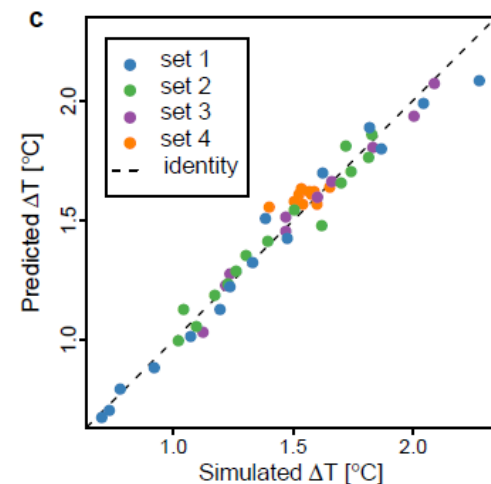
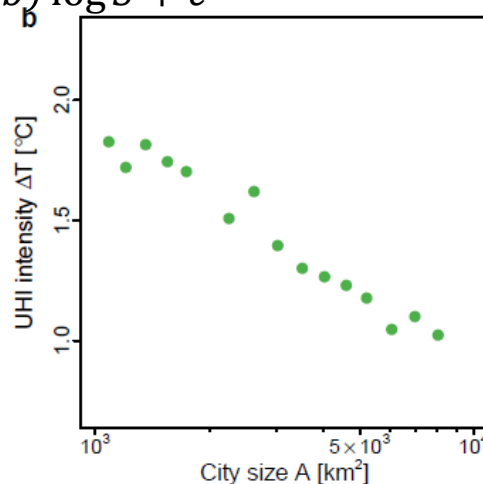
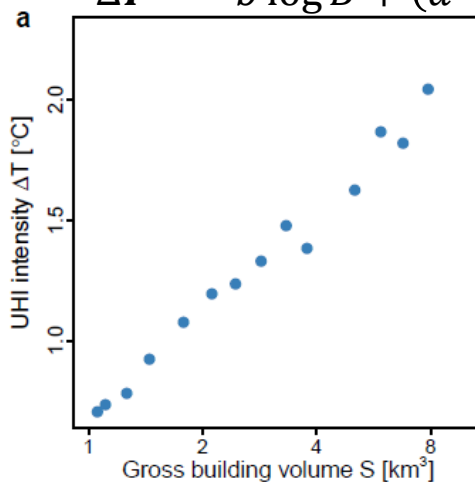
- Introduce building density B:

- Replace S with A\*B:

$$\Delta T = a \log B + (a + b) \log A + c$$

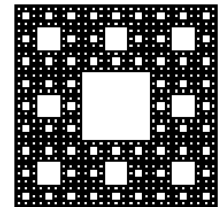
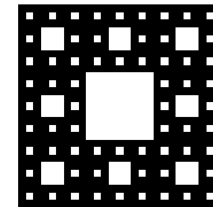
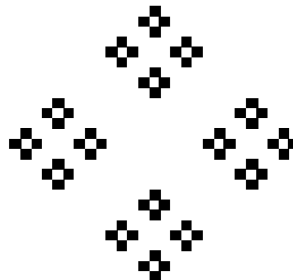
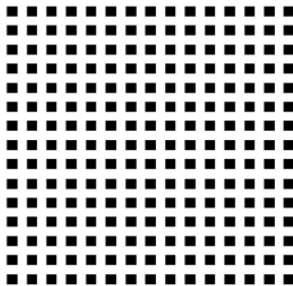
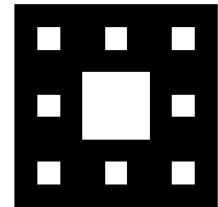
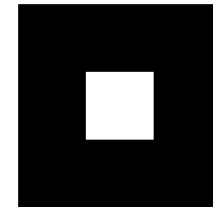
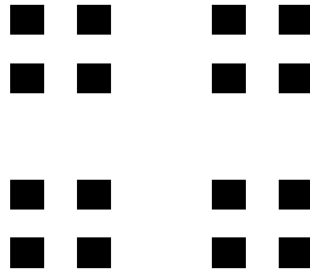
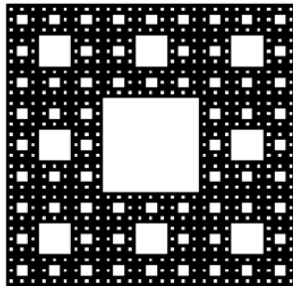
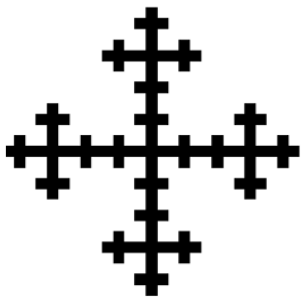
- Replace A with S/B:

$$\Delta T = -b \log B + (a + b) \log S + c$$



# Special fractal patterns

- 10 types of special fractal patterns
  - each type with varying sizes
  - 41 clusters in total



# Special fractal patterns

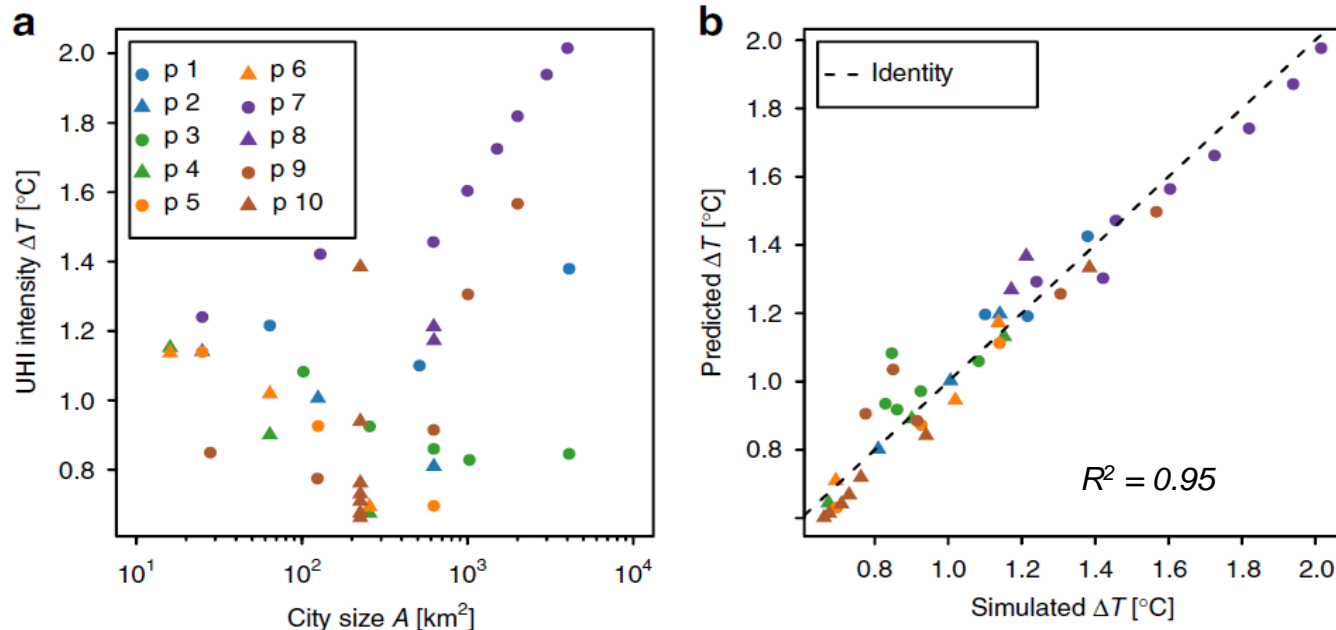
- special fractal patterns

- homegenous building volume for each grid cell,  $S = \alpha A$

$$\Delta T = a \log S + b \log A + c \Rightarrow \Delta T = a \log A + c \quad -(2)$$

- $$\Delta T = a \log S + b \log A + c \frac{1}{N} \sum_j^N \sum_{i \neq j}^N d_{ij}^{-\frac{3}{2}} + d \quad -(3)$$

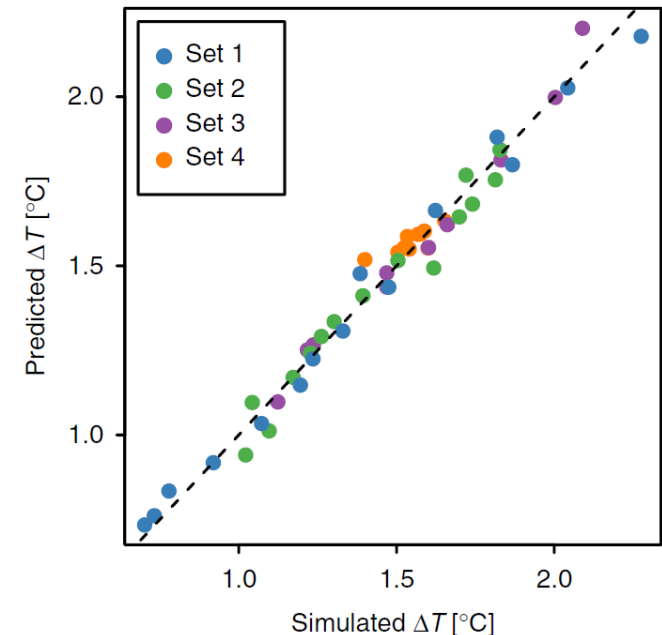
- $d_{ij}$ : distance between urban grid  $i$  and  $j$ ,





# General regression

- $\Delta T = a \log S + b \log A + cD + d$ , where:  $D = \frac{1}{N} \sum_j^N \sum_{i \neq j}^N \left( \frac{f_{ui} w_i}{Y_i} \right)^{\frac{1}{2}} d_{ij}^{-\frac{3}{2}}$  - (4)
  - $d_{ij}$ : distance between urban grid  $i$  and  $j$ ,
  - $w_i, Y_i, f_{ui}$ : gross building volume, street canyon width, urban surface fraction for urban grid  $i$
- Fitting results:
  - $a = 0.28, b = -0.26, c = 0.07, d = 2.43$
  - $R^2 = 0.99$



# Application to a real-world example

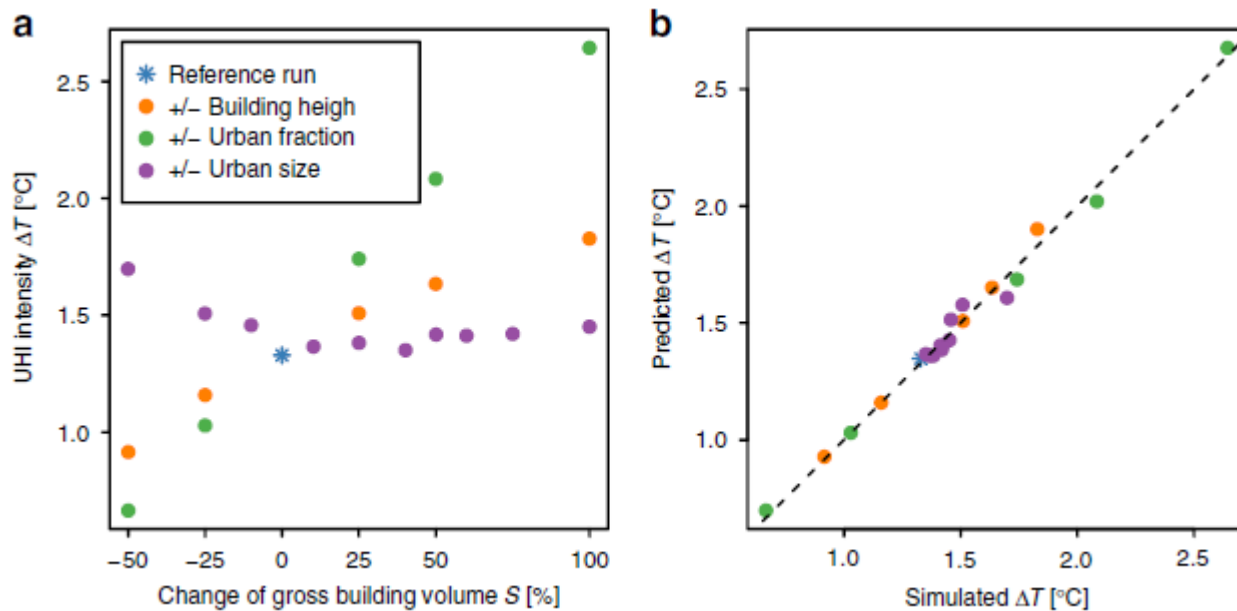
- Scenarios based on real urban structure data of Berlin
- Increase gross building volume by -50%, -25%, 25%, 50%, 100% through changing:
  - Building height
  - Urban fraction
  - Urban area

Relative to the current real data



# Application to a real-world example

- Taller buildings are better than larger building footprints



# Summary



# Conclusion:

- **Our results shows that:**
  - Building density is the dominant factor contributing urban heat island
  - Cities larger in area do not necessarily mean stronger urban heat island intensity
  - If the city is constrained from outward extending for future development to accommodate growing population, taller buildings are better than the share of land surface covered by building
  - Given the same size and gross building volume, urban development scenarios with more compact morphology have stronger urban heat island intensity.

## Further discussions

- With the parameters known, our approach can serve as an UHI rule of thumb for the comparison of urban development scenarios.
- Future work exploring the influence of background climate on the regression model would be helpful for a more generalized understanding.
- Theoretical explanation on the regression model would also promote general understanding.
- Anthropogenic heat all matters in some cities.

***Thanks!***

Potsdam Institute for Climate Impact Research (PIK)

Research department Climate Resilience

Urban transformation group:

<https://www.pik-potsdam.de/en/institute/departments/climate-resilience/research/urban-transformations>

Personal homepage at PIK:

<https://www.pik-potsdam.de/members/yunfeili>

