Influences of large-scale convection and moisture source on monthly precipitation isotope ratios observed in Thailand, Southeast Asia Zhongwang Wei<sup>1</sup>, Xuhui Lee<sup>1,2</sup>, Zhongfang Liu<sup>3</sup>, Uma Seeboonruang<sup>4</sup>, Masahiro Koike<sup>5</sup>, Kei Yoshimura<sup>5,6</sup> <sup>1</sup>School of Forestry and Environmental Studies, Yale University, New Haven, Connecticut, USA. <sup>2</sup>Yale-NUIST Center on Atmospheric Environment, Nanjing University of Information Science & Technology, Nanjing, Jiangsu, China. <sup>3</sup>State Key Laboratory of Marine Geology, Tongji University, Shanghai 200092, China <sup>4</sup>Faculty of Engineering, King Mongkut's Institute of Technology Ladkrabang, Thailand <sup>5</sup>Institute of Industrial Science, The University of Tokyo, Komaba, Tokyo, Japan. <sup>6</sup>Atmosphere and ocean research institute, The University of Tokyo, Kashiwa, Chiba, Japan. **Preprint submitted to EPSL** Corresponding author: Zhongwang Wei, School of Forestry and Environmental Studies, Yale University, New Haven, Connecticut, USA. (zhongwang.wei@yale.edu) 

## 24 Abstract

25 Many paleoclimatic records in Southeast Asia rely on rainfall isotope ratios as proxies for 26 past hydroclimatic variability. However, the physical processes controlling modern rainfall 27 isotopic behaviors in the region is poorly constrained. Here, we combined isotopic measurements at six sites across Thailand with an isotope-incorporated atmospheric circulation model (IsoGSM) 28 29 and the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model to investigate the factors that govern the variability of precipitation isotope ratios in this region. Results show 30 31 that rainfall isotope ratios are both correlated with local rainfall amount and regional outgoing 32 longwave radiation, suggesting that rainfall isotope ratios in this region are controlled not only by local rain amount (amount effect) but also by large-scale convection. As a transition zone between 33 the Indian monsoon and the western North Pacific monsoon, the spatial difference of observed 34 precipitation isotope among different sites are associated with moisture source. These results 35 highlight the importance of regional processes in determining rainfall isotope ratios in the tropics 36 37 and provide constraints on the interpretation of paleo-precipitation isotope records in the context of regional climate dynamics. 38

Keywords: precipitation isotopes, amount effect, convection, moisture source, Southeast Asia
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47 **1. Introduction** 

Stable isotope ratios of precipitation have been widely used as hydrological and 48 49 climatological tracers, due to their variations associated with conditions linked to condensation and evaporation of atmospheric moisture (Cai and Tian, 2016b; Dansgaard, 1964; Gat, 2000; 50 Pfahl et al., 2012; Rozanski et al., 1993; Uemura et al., 2012). For the past 60 years, precipitation 51 52 isotope ratios have been used for tracking atmospheric water vapor cycling processes at various scales, such as land-atmosphere exchange (Wang et al., 2016a), large-scale transport (Crawford 53 54 et al., 2013; Dansgaard, 1964; He et al., 2015; Wang et al., 2017; Yoshimura et al., 2003), and 55 cloud-related processes (Cai and Tian, 2016b; Crawford et al., 2017; Pfahl et al., 2012; Wang et al., 2016b; Wang et al., 2017). In addition, the stable isotope records of paleo-precipitation 56 preserved nature archives, such as groundwater (Aggarwal et al., 2004), ice cores (Pang et al., 57 2014), speleothems (Liu et al., 2014), lake sediments (Leng and Marshall, 2004) and tree-ring 58 59 cellulose (Royles et al., 2013), provide unique information relevant to paleoclimate and 60 paleohydrology. All these studies require a good understanding of factors controlling isotope variability of modern precipitation. Furthermore, the isotope information has now been 61 assimilated into a local transform ensemble Kalman filter (LETKF) and the Isotope-incorporated 62 63 Global Spectral Model (IsoGSM) to constrain both the isotopic fields and the atmospheric dynamic fields (Yoshimura et al., 2014). 64

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66 Traditional applications in hydrology and paleoclimate studies generally depend on
67 empirical relationships between precipitation isotope compositions and meteorological
68 parameters. One difficulty is that these relationships may vary over time and space, especially in
69 tropical and mid-latitude regions (Breitenbach et al., 2010; Rozanski et al., 1993; Xie et al.,

2011; Yang et al., 2012). At middle and high latitudes, the most well-known isotope/climate 70 relationship is the linear dependence of precipitation  $\delta^{18}$ O on temperature (the temperature 71 effect), while in many tropical and monsoon regions, an inverse relationship between 72 precipitation  $\delta^{18}$ O and precipitation amount (the amount effect) has been observed on the 73 monthly time scale. Additionally, precipitation  $\delta^{18}$ O is also sensitive to changes in the moisture 74 75 origin (the source effect) and to the integrated histories of both condensation and mixing with surface flux (continental recycling) during the transport of the air mass from the source to the 76 77 precipitation site (Risi et al., 2013; Zwart et al., 2016). Furthermore, isotopic enrichment of rain droplets occurs below the cloud base when droplets evaporate (Risi et al., 2010; Tremoy et al., 78 2014). 79

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In the Southeast Asia monsoon region, the amount effect has often been invoked to 81 explain isotopic variability of precipitation at the monthly time scale (Araguás-Araguás et al., 82 83 1998; Breitenbach et al., 2010; Cai and Tian, 2016b; Dansgaard, 1964; Lekshmy et al., 2014; Yoshimura et al., 2003). However, there are exceptions to this inverse relationship (Kurita et al., 84 2009; Rozanski et al., 1993; Tang et al., 2015; Yang et al., 2012). Recent studies suggest that 85 86 precipitation isotopes in this region are clearly related to regional processes rather than to local precipitation amount (Kurita et al., 2009; Rozanski et al., 1993; Tang et al., 2015; Yang et al., 87 88 2012). Large-scale convection and moisture sources probably play an important role in the 89 variability of the isotopic composition of precipitation (Cai and Tian, 2016b; Tang et al., 2015). Other processes associated with cloud microphysics such as cloud-top pressure (CTP) and cloud-90 91 top temperature (CTT) also alter the precipitation isotope ratios observed on the ground (Cai and

- <sup>92</sup> Tian, 2016b). Globally, stratiform rainfall fraction (SRF) has been found to be more negatively <sup>93</sup> correlated with precipitation  $\delta^{18}$ O than local precipitation amount (Aggarwal et al., 2016).
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These studies have improved our understanding of precipitation isotope systematics of 95 modern precipitation in Southeast Asia. However, as mentioned above, the associated 96 97 mechanisms still remain a subject of debate. In particular, uncertainties still exist about climateisotope relationships over time and space, as well as the degree to which climate variables 98 99 manifest themselves in precipitation isotopes both at the local and at the regional scale. This is 100 partly because isotope records available are too sparse in space and their lengths too short to resolve the spatial and temporal variability of precipitation isotopic composition in this region. 101 In the Global Network of Isotopes in Precipitation (GNIP), multi-year records of precipitation 102 103  $\delta^{18}$ O in this region only exist for Bangkok.

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In this study, monthly precipitation isotope records from a network of 6 sites across
Thailand are analyzed. This analysis is supported by IsoGSM simulations and back trajectory
calculations. We aim to identify atmospheric processes that control the precipitation isotope
ratios in this region, improving the interpretation of paleo-isotope records.

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#### 110 **2. Data and Methods**

#### 111 **2.1 Site and measurement**

Precipitation isotope data was obtained from a network of six sites evenly distributed in
Thailand, including Bangkok (BK), ChiangMai (CM), NongKhai (NK), Phuket (PK), SiSamrong
Agromet (SA) and UBonrRatchathani (UB) (Fig. 1 and Table 1). This monitoring network was

115	launched by the University of Tokyo in 2002 and was designed to provide sampling of water
116	isotopes ( $\delta D$ and $\delta^{18}O$ ) on a daily basis. Between 2002 and 2014, a total of 5239 samples were
117	analyzed in the University of Tokyo Hydrological Laboratory, using a Picarro cavity ring-down
118	spectrometer (model L2120-i). The measurement was normalized to the Vienna Standard Mean
119	Ocean Water and the Standard Light Antarctic Precipitation (VSMOW-SLAP) scale using a two-
120	point linear calibration generated from reference waters supplied by IAEA, with an analytical
121	precision and accuracy of $\pm 0.1\%$ for $\delta^{18}$ O and $\pm 1.3\%$ for $\delta$ D. Detailed information about
122	field sampling and the laboratory procedure is described in Wei et al. (2016). The monthly
123	precipitation $\delta D$ and $\delta^{18}O$ are amount-weighted (Online Supplementary Information). The daily
124	samples that were likely influenced by evaporation have been discarded from the amount-
125	weighted calculation. Our data screening criteria are: (1) rainfall amount is less than 3 mm; (2)
126	deviation of $\delta^{18}$ O from the LMWL (Local Meteoric Water Line) is greater than 5‰. On the
127	monthly timescale, we further excluded those months during which the collected precipitation
128	amount is lower than 80% of the total precipitation amount due to missing collection on some
129	precipitation days. More details about the samples used in this study are summarized in Table 1.
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# 2.2 Meteorological and other supporting data

Besides in-situ meteorological and isotopic data, satellite and other data products were used to understand how large-scale processes control the variability of precipitation  $\delta^{18}$ O. The monthly regional precipitation surrounding each site was obtained from the Global Precipitation Climatology Project (GPCP) Version 2 dataset (Adler et al., 2003). The GPCP dataset with a 2.5×2.5 degree resolution is based on gauge and satellite observations. NOAA monthly Outgoing Longwave Radiation (OLR), with gaps filled with temporal and spatial interpolation schemes

given by (Liebmann and Smith, 1996), was used to investigate regional convective activity. Data 138 obtained from high-spectral resolution measurements made by the Atmospheric Infrared Sounder 139 onboard the Earth Observing System Aqua satellite (Kahn et al., 2008) was used to explore 140 linkages between precipitation  $\delta^{18}$ O variability and CTP and CTT. Stratiform rainfall fraction 141 (SRF), defined as the ratio of stratiform volumetric rainfall to total volumetric rainfall (Aggarwal 142 143 et al. (2016), was calculated using NASA's tropical Rainfall Measuring Mission (TRMM) PR 2A25 V7 data products (Funk et al., 2013) for each site with a horizontal resolution of 0.5×0.5 144 degree. 145

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### 147 **2.3 IsoGSM simulations**

148 IsoGSM, which is a water isotope-enabled general circulation model (Yoshimura et al., 2008), was used to explore how synoptic weather cycles control monthly  $\delta^{18}$ O variability at each 149 of the six observation sites. IsoGSM has a horizontal resolution of about 200 km and 28 vertical 150 151 levels, and a time resolution of six hours. The spectral nudging technique is used to constrain the 152 model results with the NCEP/NCAR Reanalysis 2 data. In IsoGSM, isotopic fractionation occurs 153 during phase transition processes. The isotopic fractionation is assumed to be in thermodynamic 154 equilibrium, except for open water evaporation, condensation in supersaturation conditions 155 (vapor to ice), rain drop re-evaporation and air-rain isotopic exchange, where kinetic 156 fractionation occurs. A constant isotopic value of 0‰ is assumed for the ocean water. No 157 fractionation occurs in land surface evapotranspiration. A detailed description of the model setup 158 can be found in Yoshimura et al. (2008, 2014) and Wei et al. (2016). IsoGSM uses the spectral 159 nudging technique (Yoshimura et al., 2008) that allows it to be constrained by the actual 160 atmospheric thermodynamic situation. IsoGSM can reproduce reasonably well monthly

variabilities of precipitation and water vapor isotopic compositions associated with synoptic

weather cycles, and its products have been validated by (Farlin et al., 2013; Wei et al., 2016).

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# 164 **2.4 Back-trajectory analysis**

Air mass back-trajectories were retrieved from the Hybrid Single-Particle Lagrangian 165 166 Integrated Trajectory (HYSPLIT) model version 4.0 (Adler et al., 2003; Draxler and Hess, 1997; 167 Stein et al., 2015). The global NOAA-NCEP/NCAR reanalysis data was used for tracing air masses. 168 Trajectories were computed for an end height of 1500 m above the mean sea level, an expected 169 height of rain formation in this region (Aggarwal et al., 2004; Breitenbach et al., 2010), for a time period of 10 days, which is the mean residence time of moisture in the troposphere (Gat, 2000; 170 Trenberth, 1998). For each rainwater-sampling day at each site, backward trajectories were 171 calculated at 6-h intervals to help identify changes of the source region. The specific humidity 172 along the backward trajectory was also calculated to account for precipitation and evaporation 173 174 processes during water vapor transport (Crawford et al., 2017; Crawford et al., 2013; Sodemann et al., 2008; Wang et al., 2017). At each 6-h interval, if the specific humidity along the trajectory 175 was lower than 0.05 g/kg, the trajectory was terminated. If the specific humidity at the present time 176 177 interval was higher (lower) than at the previous time interval, the location of air parcel at the previous time was marked as an evaporation (condensation) location (Sodemann et al., 2008; 178 Wang et al., 2017). To quantify the relationship between moisture sources and precipitation  $\delta^{18}$ O, 179 180 we estimated the moisture evaporated into the air from time change of absolute humidity when the back trajectory passed over the specified region. There were three moisture source regions: Pacific 181 182 Ocean (east of 100° E), Indian Ocean (west of 100° E) and land surface. The monthly contribution 183 from each source region was calculated by the sum of moisture coming from that region and further

weighted by the precipitation recorded at the measurement site. The moisture source analysis is presented in Sec. 3.3. Similar approaches have been used to identifying moisture sources in various climatic conditions, including Greenland (Sodemann et al., 2008), Australia (Crawford et al., 2017; Crawford et al., 2013), Central Asia (Wang et al., 2016a; Wang et al., 2017) and Switzerland (Aemisegger et al., 2014). The model was further modified to allow us to trace other variables such as OLR or  $\delta^{18}$ O of precipitable water simulated by the IsoGSM.

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### 191 **3. Results and discussion**

#### **3.1 General patterns**

The precipitation  $\delta^{18}$ O and the vertically integrated water vapor amount simulated by 193 IsoGSM are shown in Figure 1. During the DJF (December, January and February) season, the 194 precipitation was generally controlled by moisture from the Pacific Ocean, while a higher 195 contribution of moisture from the Indian Ocean can be found for the JJA (June, July and August) 196 season. The precipitation  $\delta^{18}$ O values generally decreased along the monsoon track in these 197 seasons. On the other hand, the moisture source varied among the sites for the MAM (March, April 198 and May) and SON (September, October and November) seasons and thus resulted in more 199 complicated spatial variations of precipitation  $\delta^{18}$ O. 200

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A least squares regression of amount-weighted  $\delta^{18}$ O and  $\delta$ D values for all stations shows that the LMWL is  $\delta D = 8\delta^{18}O + 9.4$  (R<sup>2</sup>= 0.98, p < 0.01) (Fig. 2), which is almost the same as the Global Meteoric Water Line (GMWL,  $\delta D = 8\delta^{18}O + 10$ ; (Craig, 1961). The LMWL suggests that overall these samples have not been significantly affected by sub-cloud evaporation. As the  $\delta^{18}$ O and  $\delta D$  values are highly linearly correlated, the following results are given in terms of  $\delta^{18}O$  only. d-excess, defined as d =  $\delta D-8\delta^{18}O$  (Dansgaard,1964), was also calculated for tracing moisture region.

A statistical summary of the measured isotopic values for each station is presented in 209 210 Table 1. Rainfall isotope ratios show a large range of variations over the observation period, with maximal monthly value at CM (1.7‰) and minimal value at SA (-14.2‰). Generally, the 211 212 maximum  $\delta$  was observed in April, except for PK where the maximum occurred in January, while the minimum  $\delta$  vale occurred in September and October. There was a tendency of larger 213 214 temporal ranges with increasing latitude. In spite of these large temporal variations, these 215 stations exhibited similar isotope averages, with gradually depleted isotope values towards 216 higher latitudes (Fig 2). The average gradient was -0.2% per degree of latitude change, which is 217 much smaller than value of -0.6% per degree reported for the mid-latitudes (Mook, 2000). 218

# 219 **3.2** local controls on seasonal variability of precipitation $\delta^{18}$ O

The climatology of monthly precipitation  $\delta^{18}$ O, local precipitation amount, GPCP grid-220 221 level precipitation amount and local air temperature are shown in Figure 3. The majority (> 222 82 %) of annual rainfall occurred in active monsoon months (May to September) during which rainfall was more depleted in <sup>18</sup>O (Fig. 3b and 3c). Higher precipitation  $\delta^{18}$ O values were 223 observed in the winter and early spring; Precipitation  $\delta^{18}$ O showed a gradual decrease with time 224 225 after onset of the summer Asia monsoon (Fig. 3a). This temporal pattern of precipitation isotope 226 is consistent with previous studies based on the GNIP dataset for the tropical and mid-latitude 227 regions (Aggarwal et al., 2004; Araguás-Araguás et al., 1998; Breitenbach et al., 2010; Cai and 228 Tian, 2016b; Xie et al., 2011). According to these studies, an inverse relationship exists between

precipitation  $\delta^{18}$ O and precipitation amount: precipitation  $\delta^{18}$ O typically reaches its minimum in 229 the season of maximum rainfall and its maximum in the season of lowest rainfall. However, 230 neither local precipitation amount nor regional precipitation amount can fully explain the 231 precipitation  $\delta^{18}$ O observed at out sites. For example, SA had a monthly maximum amount of 232 precipitation was observed in September (315.0 mm based on local observation and 247.3 mm 233 based on GPCP), but the  $\delta^{18}$ O minimum was observed in October (-9.7‰) in the off-monsoon 234 season. Similarly, BK, the minimum  $\delta^{18}$ O value (-7.9‰ in October) did not correspond to 235 maximum precipitation (348.9 mm locally and 393.2 mm according to GPCP in September). 236 Similar to  $\delta^{18}$ O, monthly precipitation d-excess showed a slightly decrease during the summer 237 monsoon season (Supplementary Fig. S1), whereas large variabilities were observed for other 238 239 seasons.

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The GPCP grid-level precipitation amount and peak time could deviate from the locally measured values. For example, at PK, the October GPCP precipitation value was 100 mm lower than the value observed locally. At NK, the maximum local precipitation occurred in September, whereas the maximum GPCP precipitation was observed in July. These differences suggest that local precipitation may be influenced by local topographic effects in addition to large-scale atmospheric conditions.

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248 Correlation analysis of monthly precipitation  $\delta^{18}$ O with local precipitation amount, near-249 surface air temperature, and model-derived variables is summarized in Table 2. The correlation 250 between local precipitation amount and precipitation  $\delta^{18}$ O varied among sites. The amount effect 251 appeared more clearly at tropical oceanic stations (R = -0.60 at CM and -0.62 at BK,

respectively) than at the terrestrial station (e.g. R=-0.28 at NK). The results hold true when the GPCP data are used. At coastal sites (BK and PK), strong positive correlations existed between precipitation  $\delta^{18}$ O and OLR. Precipitation  $\delta^{18}$ O exhibit much weaker correlation with the near surface temperature than with other atmospheric variables, in part because the near-surface temperature at these sites did not change significantly with season (Fig. 3d).

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The IsoGSM reproduced the precipitation  $\delta^{18}$ O reasonably well at all sites and in terms of 258 both the temporal and spatial variability (Table 2). This was especially true for the rainy seasons 259 when the IsoGSM accurately reproduced the spatial variability of  $\delta^{18}$ O (Fig. 3), which implies 260 that our simulation can reasonably capture the influence of large-scale moisture advection on 261 precipitation  $\delta^{18}$ O variations. In contrast to the  $\delta^{18}$ O values, IsoGSM is less successful with d-262 263 excess values, likely associated with the specific physics in the present model (Yoshimura et al., 264 2008), although its d-excess trends were similar to the observed trends (Supplementary Figure 265 S1). IsoGSM also predicted precipitation amount very well except for some overestimation at the CM site. The simulated 2-m temperature displayed clear seasonal cycles, consistent with the 266 observations, but with an underestimation of 3 °C for most of sites. This may be due to the 267 268 relatively coarse resolution of the IsoGSM that can not resolve the observational sites.

Another factor that influences precipitation/isotope relationships is the well-known postcondensation processes or the interaction between rain drops and the water vapor under the cloud (Kurita et al., 2009). On a monthly timescale, however, the contribution of this effect becomes relatively weak due to the isotopic variability associated with rain-out process in the surrounding region (Kurita et al., 2009). The fact that our  $\delta^{18}$ O and  $\delta$ D were well distributed along the GWML suggests that post-condensation processes played a minor role on the monthly timescale

(Fig. 2). Moreover, the high precipitation amount and high relative humidity at our sites would 275 limit the post-condensation effect. This is also supported by a recent ideal simulation study, 276 which suggests that raindrop evaporation does not have a great contribution to the amount effect 277 as was assumed (Moore et al., 2014). As reported previously, local CTP, CTT and SRF are also 278 suggested as indicators of precipitation  $\delta^{18}$ O variability. However, correlation analysis for all 279 sites showed that  $\delta^{18}$ O was largely independent of CTP, CTT and SRF (Table 2). Nevertheless, 280 281 the difference between these correlations indicates that these commonly-used atmospheric variables, either observed locally or modeled at the grid-level, are insufficient to explain the air 282 283 mass rainout history and its isotopic variability across space.

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#### 285 **3.3 Remote controls**

The precipitation  $\delta^{18}$ O depends on the proportion of moisture coming from oceanic 286 evaporation and from land evapotranspiration. Figures 4 and 5 show examples of back-trajectory 287 simulation results in May and September 2005 for the PK and the NK site, respectively. These 288 two sites were selected because  $\delta^{18}$ O was similar in May but differed significantly in September 289 and because the moisture source at these two sites in 2005 was significantly different from multi-290 291 year climatology. The percentage of moisture originating from the land surface, the Indian Ocean and the Pacific Ocean was estimated for each month using the method described in section 2.4. 292 293 The results show that changes in moisture source were a driver of the observed temporal and spatial  $\delta^{18}$ O variability. At NK, 85 % of the moisture came from Indian Ocean in May 2005, 294 while the moisture in September 2005 was a mixture of water vapor originating from the land 295 296 (52%), the Indian Ocean (28%) and the Pacific Ocean (20%). At the PK site, the main moisture 297 source was the Indian Ocean in May, contributing 88% of the moisture, a proportion similar to

that at the NK site. In September, the overwhelming source at the PK site was also the Indian
Ocean (87%), while the Pacific Ocean and the land surface played minor roles, contributing only
11% and 2% of the moisture. On the other hand, for the multi-year mean, the Indian Ocean
contributed to only about 60% and 6% in May and September at NK, 91% and 75% in May and
September at PK, respectively.

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The evolutions of specific humidity and isotopic composition of precipitable water along 304 the airmass trajectory are shown in Figure 4 and 5. The isotopic composition of precipitable 305 water was used here instead of precipitation  $\delta^{18}$ O because precipitation did not occur 306 continuously along the trajectory. For NK in May, the moisture forming precipitation was more 307 likely to come from the Bay of Bengal due to strong evaporation in this region (Fig. 4). Although 308 the specific humidity showed a large decrease just before the air mass reaching the southwest 309 coast of Thailand, only minor reduction of  $\delta^{18}$ O of precipitable water was found (Fig. 4). The 310  $\delta^{18}$ O of precipitable water varied from -22 ‰ to -10 ‰ along the whole trajectory. The  $\delta^{18}$ O of 311 precipitable water was much more depleted in September than in May due to a higher 312 contribution of the land source and more rainout before the airmass reached the sampling site in 313 314 September. For the PK site in May and September (Fig. 5), the dominant moisture sourced from the Bay of Bengal, which is similar to the NK site in May. The specific humidity was initially 315 316 low at the start of the airmass transport and became higher when the airmass passed through this 317 region and was slightly depleted when the airmass reached the land.

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319 A direct comparison of the isotopic composition of IsoGSM simulated surface 320 evaporation (or evapotranspiration over land; ET)  $\delta^{18}$ O from different source regions

321	(Supplementary Fig. S2) was used to tease apart the relative influence of these different moisture
322	sources on precipitation $\delta^{18}$ O. In May, the ET $\delta^{18}$ O along the trajectories varied from -6‰ to -
323	4‰ for both the NK and PK sites. In September, there is little change in sources region of
324	precipitation at PK site, while for the NK site, the ET $\delta^{18}$ O from the dominated source region
325	(land surface) was more depleted (-14‰ to -8‰). These patterns are confirmed by our site-based
326	precipitation isotope measurements, which showed high consistence of amount-weighted
327	monthly $\delta^{18}$ O averages at PK and NK in May (-4.5‰ and -3.3‰ for PK in May and September,
328	respectively, and -4.1‰ for NK in May) and the isotopically most depleted precipitation at NK
329	in September (-10.9‰). The source impact is also confirmed by the comparison of d-excess
330	simulation from source regions (Supplementary Fig S3), because d-excess is insensitive to non-
331	moisture source processes such as re-evaporation of rainfall, moisture mixing and convection
332	activity during the distillation process along the vapor transport trajectory. At the NK site, ET d-
333	excess along the trajectories varied from 12‰ to 16‰ and 6‰ to 12‰ in May and September,
334	respectively. At the PK site, the source d-excess is slightly lower than at the NK site, ranging
335	from 8‰ to 12‰ and 4‰ to 12‰ in May and September, respectively. The observed
336	precipitation d-excess in May and September was 14.1‰ and 11.6‰ at NK, 9.9‰ and 8.5‰ at
337	PK, respectively. For the PK site in May and September, the source water is dominated by low-
338	latitude Indian Ocean with relatively low ET d-excess, while moisture for the NK site in May is
339	from high latitude Indian Ocean with high ET d-excess. For the NK site in May, a higher source
340	contribution from the land surface resulted a relatively high d-excess in precipitation. At the
341	same time, the similar differences in the source region ET d-excess and in local precipitation d-
342	excess between these months also indicate that the source had an effect on precipitation isotope
343	ratios.

345	Figure 6 shows cluster means of OLR, precipitable water and its $\delta^{18}$ O. The precipitable
346	water $\delta^{18}$ O values at PK in May and September and at NK in May were generally close to each
347	other, although the cluster means of specific humidity varied in a large range (Supplementary
348	Fig. S4). On the other hand, $\delta^{18}$ O values for NK in September were most isotopically depleted,
349	although the variability of specific humidity along the trajectory was similar with that in May.
350	This is consistent with ET isotope source analysis introduced above. A significant negative
351	correlation between the OLR measurement and simulated precipitable water content suggests
352	that our model reproduced precipitable water content correctly (Supplementary Fig. S5).
353	
354	As the air mass moves through the oceanic source region, it may pick up abundant
355	moisture from convective transport along air mass trajectory, which leads to an increase in
356	precipitable water (Supplementary Fig. S6) and a decrease in ORL due to convective cloud
357	(Supplementary Fig. S7). Although our trajectory calculation can not resolve convective
358	transport, significant correlations of precipitable water $\delta^{18}$ O with precipitable water (R <sup>2</sup> > 0.79)
359	and ORL ( $R^2 > 0.79$ ) suggest that convective activity is a dominant control on precipitation $\delta^{18}O$
360	variability along which air mass travelled over the ocean (except for NK site in May, Fig. 6). It is
361	noted that this negative correlation between the amount of precipitable water and its $\delta^{18}O$ does
362	not reflect the rainout effect, because the rainout effect is associated with depleted $\delta^{18}$ O in
363	moisture and precipitation due to removal of water vapor as condensate. Instead, this correlation
364	should also be explained by isotopic rainout effect resulted from vertical air motions and
365	microphysical processes governing rain formation though convective activity (Aggarwal et al.,
366	2016). The convective-driven rainout can result in $\delta^{18}$ O-depleted water vapor at low levels which

then feeds the subsequent convective systems with lower  $\delta^{18}$ O (Lawrence et al., 2004; Risi et al., 367 2008, Risi et al., 2010). However, though this rainout process lowers the  $\delta^{18}$ O value of 368 precipitable water, it does not decrease atmospheric humidity (left panel in Fig. 6). This may 369 reflect the variability in the balance of rainout and ocean-surface recycling for air mass moving 370 371 across ocean. When rainout effect exceeds convective recycling, the atmospheric humidity 372 decreases, and vice versa. This appears to be supported by the evolution of the specific humidity along air mass trajectory (Supplementary Fig. S4). During air mass transport from the ocean, the 373 specific humidity gradually increases along the trajectory until its proximity to the destination 374 375 where rainout effect overrides recycling. For example, the specific humidity starts to decrease 376 about 30 hours before it arrives at PK (oceanic station), a delay of approximately 3 days at NK (inland station) (Supplementary Fig. S4). For PK site, both the precipitable water and OLR 377 378 increase all the way towards the site, suggesting stronger convective recycling than rainout effect (Supplementary Fig. S6 and Fig. S7). For NK site in May, due to rainfall at the southwest coast 379 before the airmass landed, there is indeed a decrease in precipitable water from 53.5 kg m<sup>-2</sup> to 380 51.8 kg m<sup>-2</sup> (Supplementary Fig. S6), and also an increase in OLR from 190.4 W m<sup>-2</sup> to 204.9 W 381 m<sup>-2</sup> (Supplementary Fig. S7). On the other hand, for NK site in Sep, as mentioned earlier, land 382 383 surface evapotranspiration serves as an important source of water vapor. The precipitable water content increases slightly from 52.6 kg m<sup>-2</sup> to 52.9 kg m<sup>-2</sup> after the air mass landed, accompanied 384 by a decrease in OLR from 203.5 W m<sup>-2</sup> to 188.6 W m<sup>-2</sup>. 385

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387 The case of the NK site in May showed more complex variations than other cases. As 388 shown in Figure 6 and Supplementary Figure S4, during the rainout period (-100 h to 0 h),  $\delta^{18}$ O 389 did not decrease with increasing precipitable water and there was no significant correlation

between  $\delta^{18}$ O and OLR. The poor correlation between precipitable water and  $\delta^{18}$ O is generally contrary to so-called amount effect.

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One potential reason for the poor correlation at NK in May may be related to the model 393 that cannot effectively estimate moisture sources due to air mass advection from other source 394 regions. The back-trajectory analysis showed that the Bay of Bengal, in the latitude band of  $5^{\circ}$ -395 10°N, was a major source of moisture for NK in May. On the other hand, cluster means of OLR 396 were lower than in other cases. As noted by Gadgil (2003), large-scale convection is likely to 397 occur if SST is greater than 27.5 °C and the OLR is less than 240 W m<sup>-2</sup>. In this case, OLR 398 varied between 210 and 240 W m<sup>-2</sup> and SST in this source region was about 29.6 °C, suggesting 399 the region was dominated by large-scale convection for the whole period. Under such conditions, 400 401 the surface solar radiation is decreased by cloud cover, thus reducing local evaporation. Therefore, the rapid increase of specific humidity along its trajectory between -222h and -102 h 402 (Supplementary Fig. S4) was less likely to be caused by the local evaporation in the source 403 region and more likely to be caused by advection of moisture from other regions. We did similar 404 analysis for the cases of May 2004 and 2006. We found that although OLR was 180-280 W m<sup>-2</sup>, 405 which is higher than that in May 2005, and with the evaporation source closer to the Bay of 406 Bengal, the correlation between OLR and  $\delta^{18}$ O only showed limit improvement (R<sup>2</sup> = 0.35 and 407  $R^2 = 0.25$  for May 2004 and 2006, respectively). 408

409

Rain re-evaporation during rain-out process may have also contributed to the water vapor
isotopic variations. However, this process would reduce the isotope ratio of the remaining vapor,
which is contradictory with our results. A more reasonable explanation is that δ<sup>18</sup>O is affected by

mixing of moisture originated from several sources during the rain-out process, leading to more 413 enriched  $\delta^{18}$ O of water vapor. The IsoGSM produced relatively higher  $\delta^{18}$ O of precipitable water 414 (-13.0%) northward of the Bay of Bengal  $(16^{\circ} - 20^{\circ}N)$ . With mixing of air masses in this region, 415  $\delta^{18}$ O would not strictly follow the Rayleigh distillation prediction. 416 417 418 Another potential reason comes from biases of IsoGSM simulation. In this case, the moisture forming precipitation came largely from the Bay of Bengal, while for other cases the 419 420 moisture pathway crossed the Indian Ocean, the Arabian Sea and Northwest Pacific. The longer 421 transport distance allowed for enhanced Rayleigh distillation during the moisture transport and hence for isotopic depletion in precipitable water, thus improving the precision of model 422 423 simulation. 424 Nevertheless, our analysis indicates that the rain-out history of the air masses and the 425 moisture source are major factors that control the precipitation  $\delta^{18}$ O variability, in parallel with 426 the local amount effect. These two controlling factors are reflected by large-scale convective 427 activity along the storm trajectory. 428 429 Figure 7 illustrates climatological mean variations in the source proportions for the rainy 430 431 season. The Indian Ocean was the dominant moisture source during the summer monsoon season 432 (May – August) for all the sites, contributing to more than 70% of the total moisture. In the premonsoon (April) and the post-monsoon (September – October) seasons, either the western North 433 434 Pacific or the land surface played a dominant role. As shown in Figures 1 and 7, the 435 corresponding moisture source patterns changed seasonally and among the observation sites,

436	contributing to the spatial difference of precipitation isotopic compositions at our sites. For the
437	same month, the spatial difference of observed precipitation $\delta^{18}O$ can be attributed to moisture
438	source and rain-out history. For example, in April, because of high contribution of low-latitude
439	Pacific source (with high ET $\delta^{18}$ O, see Supplementary Fig. S8), the PK site showed the highest
440	precipitation $\delta^{18}$ O (Fig. 3). However, because of the lack of contribution from high latitude
441	Indian Ocean source (with low ET $\delta^{18}$ O), lower precipitation $\delta^{18}$ O values were observed at the
442	UB and BK sites. For the SA, CM and NK sites, on the other hand, the most depleted
443	precipitation $\delta^{18}$ O values were observed due largely to the proximity to high latitude Indian
444	Ocean and adjacent land. In the summer monsoon season, in contrast to the Pacific-sourced
445	moisture, precipitation controlled by the Indian Ocean source has relatively depleted ET $\delta$ $^{18}\text{O}.$
446	As shown in Supplementary Figure S8, from May to August, the Indian Ocean ET $\delta$ $^{18}\text{O}$ is about
447	6‰ lower than that of the Pacific Ocean. In September, precipitation $\delta^{18}$ O was low, which
448	probably reflects the dominance of the <sup>18</sup> O-depleted land surface evaporation (Fig. S8).
449	Therefore, the spatial difference of precipitation $\delta^{18}$ O can be partly attributed to different
450	moisture sources. The source effect is also supported by d-excess analysis. During the monsoon
451	season, due to the dominant role of the Indian Ocean moisture source, the observed precipitation
452	d-excess value was highly consistent with those of source water, as precipitation d-excess
453	decreased with decreasing ET d-excess, as shown in Supplementary Figure S9. It is noted that
454	for the pre-monsoon and post-monsoon seasons, d-excess analysis become less reliable due to
455	the influence of rain drop re-evaporation.

457 On the other hand, as the air-mass moved inland along the monsoon track, precipitation 458  $\delta^{18}$ O became gradually depleted with the progressive rainout: NK, the furthest inland station, had

the lowest summer precipitation  $\delta^{18}$ O values among all sites (Fig. 3). Therefore, during the rainy season (April-October), both source and rain-out effect can greatly alter observed precipitation  $\delta^{18}$ O in different sites for a given month, but the convective-driven rainout that alters precipitable water  $\delta^{18}$ O along the trajectory appears to play a more important role. For example, although the IsoGSM simulated an increase in the ET  $\delta^{18}$ O from May to August over the Indian Ocean, the observed precipitation isotopes showed a decreased trend.

465

Figure 8 shows the long term (2003 - 2012) spatial distribution of the correlation 466 coefficient between the monthly OLR and precipitation  $\delta^{18}$ O observed at each of the six sites. 467 Positive correlation is evident in the large convective zone spanning from the Bay of Bengal in 468 469 the west to the South China Sea in the east. Large-scale atmospheric circulations can cause 470 homogeneous changes in cloud-related variables, such as CTP and CTP, in the south Asia region (Cai and Tian, 2016a; Cai and Tian, 2016b), resulting in the consistency in the spatial pattern of 471 the correlation coefficients between the  $\delta^{18}$ O and convective activity in the ITCZ region. At the 472 oceanic island station (PK), precipitation  $\delta^{18}$ O correlated significantly with OLR at the local grid 473 (R = 0.64). At the inland stations NK and SA, even though the correlation between  $\delta^{18}$ O and 474 475 OLR at the local grid was weak (R = 0.18 for NK and R = 0.32 for SA), the correlation between  $\delta^{18}$ O and OLR showed similar spatial patterns to that for UB, with the highest correlation found 476 477 in the ITCZ zone (R = 0.72 for NK and R = 0.57 for SA). The strong correlations of monthly  $\delta^{18}$ O with local precipitation (except for the NK site) and with OLR emphasize that isotopic 478 variability of precipitation is not only related to local precipitation amount, but also to large-scale 479 480 organized convection activity.

481

The location at which the correlation between the  $\delta^{18}$ O and OLR is the highest is 482 indicative of the dominant moisture source. At CM, although the Indian Ocean was the dominant 483 source during the monsoon season (Fig. 7), precipitation  $\delta^{18}$ O in this site had a higher correlation 484 with OLR in the South China Sea than in the Indian Ocean. This is because the data gap resulted 485 in a higher proportion (53%) of months that were controlled more by the Pacific monsoon (east 486 of 100° E, non-summer cases) than by the Indian monsoon (west of 100° E, mainly summer 487 cases). If we selected the summer season (May-Aug) only, the highest spatial correlation R 488 would improve from 0.79 to 0.84 and the location of the highest correlation would be in the Bay 489 490 of Bengal. For the winter season (December-January-February, DJF) when precipitation was weak (Fig. 3), the model simulation indicated different sources of moisture among different sites 491 (Fig. 1). For the PK site, there was a significant moisture contribution from the Pacific Ocean, 492 with a high correlation between  $\delta^{18}$ O and OLR occurring in the Pacific Ocean. Although high 493 correlations also existed over the South Indian Ocean and the land surface, these correlations 494 495 may be misleading considering the moisture transport path (Supplementary Fig. S10 and Fig 1). For the BK and the CM site, flows from the Pacific Ocean and the Indian Ocean played equally 496 dominant roles. Thus the correlation between  $\delta^{18}$ O and OLR showed similar spatial patterns 497 498 (Supplementary Fig. S10) to those shown in Figure 8. On the other hand, sources of water vapor for UB, NA and SA were dominated by land contributions. The highest correlations between 499  $\delta^{18}$ O and OLR were found in inland areas (along the moisture transport path, except the UB site 500 due to lack of data). Nevertheless, these results further highlight that precipitation  $\delta^{18}$ O was 501 associated with the moisture source and large-scale convective activity along the airmass 502 503 trajectory.

504

505 **4. Conclusions** 

In this study, we presented multi-year long time series of monthly rainfall  $\delta^{18}$ O observed at six sites in Thailand, aiming to investigate the processes controlling temporal and spatial isotopic variability in this region. We compared rainfall  $\delta^{18}$ O to local atmospheric variables, including precipitation amount, temperature, and activity of convection. With the help of a Lagrangian moisture source diagnostic tool (HYSPLIT) and an isotope-enabled GCM (IsoGSM), large-scale convection and moisture source region that drive monthly isotopic variability were also investigated.

513

514 Different from existing interpretation, such as the inverse relationship between rainfall 515  $\delta^{18}$ O and precipitation amount, known as the amount effect, we found that the spatial and 516 temporal changes in the stable isotope ratios of precipitation depended both on the seasonal 517 dynamics of moisture sources and that associated large scale convection patterns and local 518 precipitation amount. Both moisture source analysis and IsoGSM simulation showed that the 519 observed winter-enriched/ summer-depleted seasonal distribution of precipitation  $\delta^{18}$ O at all the 520 sites was controlled by convective activity altering precipitable water  $\delta^{18}$ O along the trajectory. 521

The spatial variability of precipitation  $\delta^{18}$ O among the observation network was highly dependent on fractional contribution of different moisture sources. For example, the precipitation  $\delta^{18}$ O at NK in September 2015 was lower than that at PK in the same month, because lower contribution of moisture source from Indian Ocean. Strong positive correlation was found between local precipitation  $\delta^{18}$ O observed at all the six sites and the outgoing longwave radiation

- (OLR) in the large convective zone spanning from the Bay of Bengal in the west to the SouthChina Sea in the east, reflecting the impact of large scale convective activity.
- 529

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Sito	Lon (°E)	Lat (°N)	Elevation (m)	Number of samples	Sampling period	$\delta^{18}$ O			δD			
Sile						Min	Max	Ave	Min	Max	Ave	
Dhultot (DV)	08.3	Q 1	0	000	2003	-9.1	1.4	50	61.2	11.0	25.0	
Fliuket (FK)	98.5	8.1	9	808	2009	(Oct)	(Jan)	-3.8	-01.3	11.0	-35.2	
Bangkok	100 6	13.7 6 559	550	2003	-13.5	-0.6						
(BK)	100.6		6	559	2011	(Oct)	(Apr)	-6.3	-98.1	4.9	-41.2	
UBon	104.0	15.0	107	<0.5	2003	-11.9	0.3		04.0	10.1	50.1	
(UB)	104.9	15.3	127	625	2015	(Sep)	(Apr)	-7.6	-84.9	12.1	-52.1	
Si Samrong	00.0	17.0	<b>5</b> 4	<b>511</b>	2002	-14.2	-1.5		44.0	0.7	52.2	
Agromet (SA)	99.9	17.2	54	511	2015	(Sep)	(Apr)	-/./	-44.3	2.7	-53.2	
Nong Khai	100 7	17.0	175	7.0	2003	-14.0	-0.9		102.0	7.1	51.0	
(NK)	102.7	17.9	175	/69	2015	(Sep)	(Apr)	-/.0	-103.0	/.1	-51.9	
Chiang Mai	00.0	10.0	212	010	2003	-12.9	1.7	7.0	100 5	114	40.1	
(CM)	99.0	99.0 18.8	515	818	818	2015	(Sep)	(Apr)	-1.2	-102.5	11.4	-48.1

664	site. The months in parentheses indicate the time when the extreme $\delta$ value occurred.	

Table1. Statistical summary of monthly amount weighted precipitation isotopic ratios for each

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Table 2. Correlation coefficients between monthly precipitation  $\delta^{18}$ O, IsoGSM simulated precipitation  $\delta^{18}$ O, local precipitation amount (P<sub>local</sub>), GPCP grid precipitation amount (P<sub>regional</sub>), local air temperature (T), cloud-top pressure (CTP), cloud-top temperature (CTT), outgoing longwave radiation (OLR), and stratiform rainfall fraction (SRF). Superscript a represents p < 0.01 and b represents 0.01 < p <0.05.

 Station	Number	IsoGSM	Plocal	Pregional	Т	СТР	CTT	OLR	SRF
 ВК	64	0.75 <sup>a</sup>	-0.60 <sup>a</sup>	-0.66 <sup>a</sup>	0.14	0.28	0.19	0.64 <sup>a</sup>	0.15
СМ	54	0.69 <sup>a</sup>	-0.62 <sup>a</sup>	-0.62 <sup>a</sup>	0.05	0.14	0.21	0.47 <sup>a</sup>	0.28
NK	55	$0.68^{a}$	-0.28 <sup>b</sup>	-0.29 <sup>b</sup>	0.07	0.19	0.15	0.18	0.11
РК	39	0.71 <sup>a</sup>	-0.58 <sup>a</sup>	-0.64 <sup>a</sup>	0.40 <sup>a</sup>	0.25	0.16	0.64 <sup>a</sup>	0.30
SA	51	0.70 <sup>a</sup>	-0.43 <sup>a</sup>	-0.42 <sup>a</sup>	0.12	0.13	0.10	0.16	0.29
UB	37	0.68 <sup>a</sup>	-0.51 <sup>a</sup>	-0.46 <sup>a</sup>	0.15	0.11	0.11	0.42 <sup>a</sup>	0.10



Figure 1. IsoGSM-simulated spatial distribution of seasonal means of vertical integral water vapor (water
vapor transport integrated between the surface layer to 300 hPa level) transport (plotted as vectors) and
precipitation isotope ratios (plotted as shading) from 2003 to 2013.





Figure 2. a)  $\delta^{18}O-\delta D$  diagram of the water samples (precipitation weighted monthly averaged) collected for this study. The black linear fit indicates the LMWL; b) Annual amount-weighted mean precipitation  $\delta^{18}O$ at each site plotted against latitude.

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Figure 3. Multi-year mean seasonal variations of measured (solid lines) and IsoGSM simulated (dash lines) precipitation  $\delta^{18}$ O, precipitation amount, GPCP grid precipitation amount and air temperature.







Figure 5. Backward trajectories for precipitation days calculated for the isotope measurements at the PK site in May and September 2005. Colors indicate specific humidity (left panel) and precipitable water  $\delta^{18}$ O (right panel) along the trajectories.











Figure 7. The multi-year (2003-2013) mean fractional contribution to atmospheric moisture at each site.
Yellow color represents source from Indian Ocean; green color represents source from Land surface and
blue color represent source from Pacific Ocean.





